

# Employment in Financial Services

## Contributing Editor

*Louise Skinner at Morgan Lewis & Bockius*

### **05. Do any categories of employee have enhanced responsibilities under the applicable regulatory regime?**

#### Belgium

Author: *Nicolas Simon*  
at Van Olmen & Wynant

Specifically, employees holding executive, overall management, oversight or control functions in regulated companies are responsible for ensuring that the companies' organization ensures the continued compliance with applicable financial market laws. Swiss financial market laws do not have enhanced responsibilities for different employee categories. Instead, a person's fitness and propriety are assessed within the context of the specific requirements and functions of a given company, the scope of activities at that company, and the complexity of that company.

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#### Switzerland

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at Walder Wyss

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